

**PATENT**

PATENT TRADEMARK OFFICE

Receipt  
H3

Docket No.: 49921-036

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

Sang Seol KIM, et al.

Serial No.: 09/934,475

Filed: August 23, 2001

For: TOY HAVING SPEECH RECOGNITION FUNCTION AND TWO-WAY  
CONVERSATION FOR CHILD



Group Art Unit: 2641

Examiner:

REQUEST FOR CORRECTED FILING RECEIPT

Commissioner for Patents  
Washington, DC 20231

Sir:

Attached is a copy of the Filing Receipt received from the U.S. Patent and Trademark Office in the above-referenced application. It is noted that the Title of the Invention is incorrect on the Filing Receipt. Attached is a copy of the Combined Declaration/Power of Attorney, which evidences that the Title of the Invention should read: **TOY HAVING SPEECH RECOGNITION FUNCTION AND TWO-WAY CONVERSATION FOR CHILD**. It is requested that a corrected Filing Receipt be issued.

Respectfully submitted,

MCDERMOTT, WILL & EMERY

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**Date: November 9, 2001**  
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## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/934,475 ✓	08/23/2001 ✓	2641	355 ✓	49921-036	5 ✓	9 ✓	1 ✓

CONFIRMATION NO. 8430

McDERMOTT, WILL & EMERY  
600 13th Street, N.W.  
Washington, DC 20005-3096

RECEIVED

SEP 26 2001

McDERMOTT, WILL &amp; EMERY

## FILING RECEIPT



\*OC00000006784922\*

Date Mailed: 09/25/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

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## Assignment For Published Patent Application

KOREA AXIS CO., LTD.; ✓

## Domestic Priority data as claimed by applicant

THIS APPLICATION IS A CIP OF 09/321,626 05/28/1999 ✓

## Foreign Applications

REPUBLIC OF KOREA 99-16583 05/10/1999 ✓

If Required, Foreign Filing License Granted 09/21/2001

Projected Publication Date: To Be Determined - pending completion of Corrected Papers

Non-Publication Request: No

**Early Publication Request:** No

**\*\* SMALL ENTITY \*\***

**Title**

Toy having speech recognition function and two-way conversation for ~~dialogue partner~~ **CHILD**

**Preliminary Class**

704

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**LICENSE FOR FOREIGN FILING UNDER  
Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

**GRANTED**

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## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/934,475	08/23/2001	Sang Seol Kim	49921-036

McDERMOTT, WILL & EMERY  
600 13th Street, N.W.  
Washington, DC 20005-3096



CONFIRMATION NO. 8430

## FORMALITIES LETTER



\*OC000000006784923\*

Date Mailed: 09/25/2001

## NOTICE TO FILE CORRECTED APPLICATION PAPERS

*Filing Date Granted*

This application has been accorded an Application Number and Filing Date. The application, however, is informal since it does not comply with the regulations for the reason(s) indicated below. Applicant is given **TWO MONTHS** from the date of this Notice within which to correct the informalities indicated below. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a)

The required item(s) identified below must be timely submitted to avoid abandonment:

- An Abstract not to exceed 150 words in length, commencing on a separate sheet (37 CFR 1.72(b)).

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

RECEIVED

DEC 26 2001

Technology Center 2600

Applicant: Sang Seol KIM, et al. Docket No. 49921-036

Title/Mark: TOY HAVING SPEECH RECOGNITION FUNCTION AND TWO-WAY CONVERSATION FOR CHILD

Date Sent: Nov. 9, 2001 ☒ Hand Carried ☐ Fax ☐ Electronic ☐ Cert. of Mailing ☐ Express Mail No. \_\_\_\_\_

☒ Transmittal Letter

☐ New Patent App ☐ Utility ☐ Design ☐ Cont. ☐ CIP ☐ Div. ☐ PCT ☐ CPA ☐ RCE ☐ Prov

☒ Other: \_\_\_\_\_

\_\_\_\_\_ pages of Specification

\_\_\_\_\_ pages of Claims

1 \_\_\_\_\_ pages of Abstract

\_\_\_\_\_ pages of Formal/Informal Drawings

☐ Information Disclosure Statement

Form PTO 1449

\_\_\_\_\_ copies of cited references

☐ Preliminary Amendment

☐ Response to Missing Parts Notice

☐ Certified Copy of Priority Doc

☐ Claim for Convention Priority

☐ Verified Statement of Small Entity Status

☐ Transmittal of Issue Fee: Form PTO 85b

☐ Maintenance Fee for \_\_\_\_\_ years after grant

☐ Notice of Appeal ☐ Appeal Brief

☐ Recordation of \_\_\_\_\_ Patent \_\_\_\_\_ Trademark:

☐ Response/Amendment to Office Action of \_\_\_\_\_

☒ Other ☐ Response to the Notice to File Corrected Application Papers, Request For Corrected Filing Receipt

Assignments/Security Agreements/ \_\_\_\_\_ Petition to Commissioner for \_\_\_\_\_

\_\_\_\_\_ Petition for Cancellation/Answer To \_\_\_\_\_

\_\_\_\_\_ Renewal of Reg. No. \_\_\_\_\_

\_\_\_\_\_ Combined Declaration/Power of Attorney

\_\_\_\_\_ Letter of Protest

\_\_\_\_\_ Request for \_\_\_\_\_-day/month Extension of Time

\_\_\_\_\_ Request for Non-Publication

\_\_\_\_\_ (no. of) Substitute/Specimens

\_\_\_\_\_ Continued Use Affidavit/Declaration (Section 8 & 15)

\_\_\_\_\_ Notice of Opposition/Answer to \_\_\_\_\_

\_\_\_\_\_ Ex Parte Appeal

\_\_\_\_\_ Trademark/Service Mark/Intent-To-Use Application

\_\_\_\_\_ Amendment to Allege Use ☐ Statement of Use

\_\_\_\_\_ Formal/Informal/Substitute Drawing Sheet



Check for \$	<input checked="" type="checkbox"/> Charge Deposit Acct. 500417 \$ 0	Atty Init.	SAB	Tkpr. #	4233	Secy. or PL Init.	ykg
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CMS Descrip.: \_\_\_\_\_

THE PATENT AND TRADEMARK OFFICE DATE STAMPED HEREON IS ACKNOWLEDGEMENT THAT THE ITEMS, CHECKED ABOVE, WERE RECEIVED BY THE PTO ON THE DATE STAMPED.